

Finite Countermodel Based Verification for Program Transformation

(A Case Study)

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Workshop on Verification and Program Transformation
London, 2015

Unfold-Fold Program Transformation Methods

Unfold-Fold Program Transformation Methods:

- Include various types of (un)reachability tasks
- Solving the tasks may improve the semantics tree (of parameterized configurations) of the program being transformed.

That means some general-purpose verification methods may be used for strengthening program transformation techniques.

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Safety Verification for Turchin's Supercompilation

- We consider the question how the particular safety verification method using finite countermodels (FCM) might be used in Turchin's supercompilation method.
- We extract a number of supercompilation sub-algorithms trying to solve (un)reachability problems
- and demonstrate the use of an external countermodel finder for solving some of the problems.

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The Main Idea

The combination of supercompilation and the FCM is promising:

- the analysis exploited within supercompilation does not cover **all** regular properties of parameterized program configurations;
- supercompilation (and other specialization methods) sometimes is able to recognize non-regular program properties.
- The main idea is to explore the combination of FCM, theoretically complete for verification by regular invariants, with the mechanisms of supercompilation able to recognize and deal with non-regular properties.

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The Main Idea behind a Supercompiler

Supervised compilation is a powerful semantic based unfold-fold program transformation method having a long history well back to the 1960-70s, when it was proposed by V. Turchin.

It observes the behavior of a functional program P running on **partially** defined input with the aim to define a program, which would be equivalent to the original one (**on the domain of latter**), but having improved properties.

The Main Idea behind a Supercompiler

A supercompiler

- unfolds a potentially infinite tree of all possible computations of a parameterized program P ;
- reduces (in the process) the redundancy that could be present in P ;
- folds the tree into a finite graph of states and transitions between possible (parameterized) configurations of P ;
- analyses global properties of the graph and specializes this graph w.r.t. these properties (without an additional unfolding).

Refal

- functional programming language (V. Turchin) used in work on Turchin's supercompilation
- in this work we use for presentation somewhat simplified/modified fragment of Refal

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The Rooted Binary Tree

LISP Data



The Forest

REFAL Data

Arbitrary trees. Associative concatenation.



Concatenation is Associative

The data set is a free monoid \mathcal{D} of concatenation with an additional unary constructor, which is denoted only with its parenthesis (i.e. without a name).

Definition

$$\mathcal{D} \ni d ::= \epsilon \mid c \mid d_1 : d_2 \mid (d)$$

The colon sign stands for the concatenation.

The constant ϵ is the unit of the concatenation and may be omitted, other constants c are characters.

Thus a datum is a finite sequence (including the empty sequence), which can be seen as a forest of **arbitrary** finite trees.

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Term Rewriting Systems Based on Pattern Matching

Call by Value

A program P is a pair $\langle \tau, R \rangle$ with $R = \{l_i = r_i \mid i = 1 \dots n\}$.

- τ is the entry point;
- the sentences $l_i = r_i$ are ordered from top to bottom to be matched;
- $\forall i. \text{Vars}(r_i) \subseteq \text{Vars}(l_i)$ are ordered from top to bottom to be matched.

Fibonacci words

Definition

The infinite sequence Fib of Fibonacci words is defined recursively as

$$w_0 = b; w_1 = a; w_{i+2} = w_i w_{i+1};$$

and consists of the words:

b, a, ba, aba, baaba, ababaaba, baabaababaaba, ...

Term Rewriting Systems Based on Pattern Matching

Example Program

$\langle \tau, R \rangle$ computes the n -th pair of consecutive Fibonacci words, where n is given in the input argument in the unary notation.

τ is `Fib(e.n)` and R is:

`Fib(e.n) = F(e.n, 'b', 'a');`

`F(ϵ , e.xs, e.ys) = (e.xs) : (e.ys);`

`F('I' : e.ns, e.xs, e.ys) = F(e.ns, e.ys, e.xs : e.ys);`

• Variables:

•

•

`'b' : 'a' \equiv 'ba'; 'aba' : e.x \equiv 'a' : 'b' : 'a' : e.x`

`Fib('III') = ('aba') : ('baaba')`

`\equiv ('a' : 'b' : 'a') : ('b' : 'a' : 'a' : 'b' : 'a')`

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- Variables:

- s-variables: range over characters;
- e-variables: range over the whole data set \mathcal{D} ;

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$$'b' : 'a' \equiv 'ba'; \quad 'aba' : e.x \equiv 'a' : 'b' : 'a' : e.x$$

$$\text{Fib}('III') = ('aba') : ('baaba')$$

$$\equiv ('a' : 'b' : 'a') : ('b' : 'a' : 'a' : 'b' : 'a')$$

Computing Fibonacci words and testing their properties

Example Programs

$\langle \tau, R \rangle$ computes the $e.n$ -th pair of consecutive Fibonacci words.

τ is $\text{Fib}(e.n)$ and R is:

```
Fib(e.n) = F(e.n, 'b', 'a');
```

```
F(ε, e.xs, e.ys) = (e.xs) : (e.ys);
```

```
F('I' : e.ns, e.xs, e.ys) = F(e.ns, e.ys, e.xs : e.ys);
```

$\langle \tau_2, R_2 \rangle$ is a predicate testing two given consecutive Fibonacci words.

τ_2 is $B(\text{Fib}(e.n))$ and R_2 is the union of R and:

```
B( (e.xs : 'bb') : (e.ys) ) = 'F';
```

```
B( (e.xs : 'b') : ('b' : e.ys) ) = 'F';
```

```
B( (e.xs) : (e.ys) ) = 'T';
```

On Semantics of the Pattern Matching

Markov's Rule

$$'AB' : e.X : 'CD' : e.Y : 'D' = 'ABCDCDCDCDD'$$

Deterministic choice:

'AB'		'CD'		'D'
'AB'	'CDCDCD'	'CD'	'D'	'D'
'AB'	'CDCD'	'CD'	'CDD'	'D'
'AB'	'CD'	'CD'	'CDCDD'	'D'
'AB'	"	'CD'	'CDCDCDD'	'D'

- $\sigma_4(e.X) = \epsilon, \sigma_4(e.Y) = 'CDCDCDD'$

On Semantics of the Pattern Matching

n-Ary Functions

Given data d_1, \dots, d_n , matching $f(t_1, \dots, t_n)$ against $f(d_1, \dots, d_n)$ leads to solving the following system of equations in the free monoid:

$$(t_1) \dots (t_n) = (d_1) \dots (d_n) \Leftrightarrow \begin{cases} t_1 = d_1 \\ \dots \\ t_n = d_n \end{cases}$$

FCM: Safety Properties Verification by Refutation

$$P = \langle \tau, R \rangle$$

Let S_0 be the initial states of P/τ , \mathcal{B} be a theory defined in a lang. \mathcal{M} and $\phi(\cdot) \in \mathcal{M}$ specify a subset of S_0 , and $\psi(\cdot)$ – a set of bad st-s of P .

Assume that R satisfies the following:

Given two states s_0, s of P , if s is reachable from s_0 then $\mathcal{B}, \phi(s_0) \vdash \phi(s)$. Where $s_0 \in S_0$.

Refutation of $\psi(s)$ (in the theory $\mathcal{B} \wedge \phi(s_0)$) means:

The fact of safety of P – unreachability of the states satisfying $\psi(\cdot)$.

One may refute the hypothesis $\psi(s)$ by a countermodel of $\mathcal{B} \wedge \phi(s) \rightarrow \psi(s)$.

Formal Theory of \mathcal{D} in the First-Order Predicate Logic

Let the character alphabet $\mathcal{A} = \{\epsilon, 'a', 'b'\}$ and $\beta, \gamma \notin \mathcal{A}, : \notin \mathcal{A}$.
The data set \mathcal{D} is redefined as follows:

$$\mathcal{D} \ni d ::= \epsilon \mid \gamma \mid d_1 : d_2 \mid \beta(d), \text{ where } \gamma \in \mathcal{A} \setminus \{\epsilon\}$$

Theory $T_{\mathcal{D}}$

$$\forall x, y, z. (x : y) : z = x : (y : z)$$

$$\forall x. x : \epsilon = x$$

$$\forall x. \epsilon : x = x$$

$$(\neg(\epsilon = 'a')) \wedge (\neg(\epsilon = 'b')) \wedge (\neg('a' = 'b'))$$

$$R(\epsilon) \wedge R('a') \wedge R('b')$$

$$\forall x. R(x) \rightarrow R(\beta(x))$$

$$\forall x, y. R(x) \wedge R(y) \rightarrow R(x : y)$$

Proposition

$$d \in \mathcal{D} \Leftrightarrow T_{\mathcal{D}} \vdash R(d)$$

Formal Theory of \mathcal{D}

Can we use for:

- Pattern matching and word equations solving
- One-step reachability analysis
- Global reachability analysis
- ...

One-Step Unreachability

Example Program $\langle \tau, R \rangle$

$\tau = f('a' : e.q : 'a' : e.q : 'b', e.q : 'a' : e.q : 'b' : e.q)$
and R is:

$f(e.x, e.x) = 'T';$

$f(e.x, e.y) = 'F' : (e.x) : (e.y);$

We have the word equation:

$$'a' : e.q : 'a' : e.q : 'b' = e.q : 'a' : e.q : 'b' : e.q$$

This equation is inconsistent in \mathcal{D} .

One-Step Unreachability

Mace4 Automated First-Order Finite Model Finder

We have the word equation:

$$'a' : e.q : 'a' : e.q : 'b' = e.q : 'a' : e.q : 'b' : e.q$$

- This equation is inconsistent in \mathcal{D} .
- Mace4 by W. McCune quickly recognizes this fact in the context of the formal first-order theory $T_{\mathcal{D}}$.
- Mace4 finds a finite countermodel of the following formula

$$\exists e.q. \quad 'a' : e.q : 'a' : e.q : 'b' = e.q : 'a' : e.q : 'b' : e.q$$

in the theory \mathcal{D} .

- The first rule of the input program $\langle \tau, R \rangle$ may be pruned away.

One-Step Unreachability

Unfolding

Input Program: $\tau =$

$f('a' : e.q : 'a' : e.q : 'b', e.q : 'a' : e.q : 'b' : e.q)$ and R is:

$f(e.x, e.x) = 'T';$

$f(e.x, e.y) = 'F' : (e.x) : (e.y);$

- The first rule of the input program may be pruned away.

Residual Program: $\langle \tau_1, R_1 \rangle$, $\tau_1 = f_1('a' : e.q : 'a' : e.q : 'b', e.q : 'a' : e.q : 'b' : e.q)$ and R_1 is:

$f_1(e.x, e.y) = 'F' : (e.x) : (e.y);$

Global Reachability and Program Output Formats

Given a program $P = \langle t, R \rangle$ and a substitution $\theta : \text{Vars}(t) \rightarrow \mathcal{D}$, $\llbracket t\theta \rrbracket$ denotes the result of computation of $t\theta$ according to P .

Definition

A passive term u is said to be an **output format** of the program P if for any substitution $\theta : \text{Vars}(t) \rightarrow \mathcal{D}$ there exists a substitution $\eta : \text{Vars}(u) \rightarrow \mathcal{D}$ such that $u\eta = \llbracket t\theta \rrbracket$.

Program Output Formats

Examples

- $e.x$ is an output format of any program;
- both $s.z : e.u$ and $s.z : e.u : e.v$ are minimal output formats of the program $\langle \tau, R \rangle$:

$\langle \tau, R \rangle$, $\tau = f('a' : e.q, e.q : 'a')$ and R is:

$f(e.x, e.x) = 'T';$

$f(e.x, e.y) = 'F' : (e.x) : (e.y);$

Program Output Formats

Examples

- $e.x$ is an output format of any program;
- $s.y$ is the only (modulo variable renaming) minimal output format of the program defined in the program $\langle \tau_2, R_2 \rangle$:

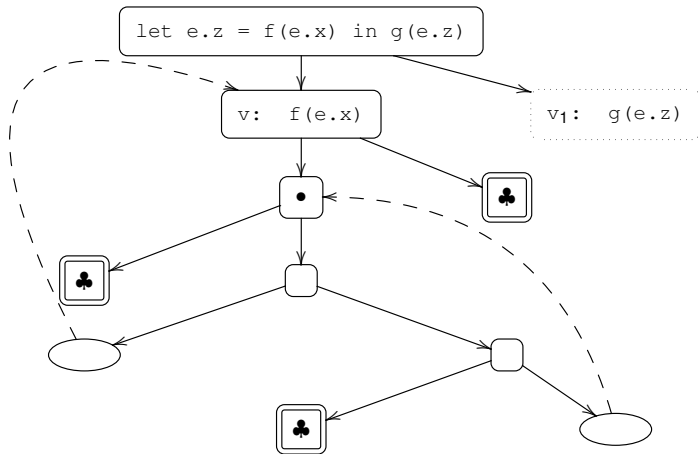
τ_2 is $B((e.xs) : (e.ys))$ and R_2 is:

$B((e.xs : 'bb') : (e.ys)) = 'F' ;$

$B((e.xs : 'b') : ('b' : e.ys)) = 'F' ;$

$B((e.xs) : (e.ys)) = 'T' ;$

Online Generated Program Output Formats



An intermediate state of an unfold-fold graph: (1) the subgraph rooted in v is self-sufficient, while (2) the subgraph rooted in \bullet is not.

The configuration v_1 still is not unfolded.

Online Generated Program Output Formats

A Fibonacci Word Property

τ_2 is $B(\text{Fib}(e.n))$ and R_2 is:

$\text{Fib}(e.n) = F(e.n, 'b', 'a');$

$F(\epsilon, e.xs, e.ys) = (e.xs):(e.ys);$

$F('I':e.ns, e.xs, e.ys) = F(e.ns, e.ys, e.xs:e.ys);$

$B((e.xs:'bb'):(e.ys)) = 'F';$

$B((e.xs:'b'):(e.ys)) = 'F';$

$B((e.xs):(e.ys)) = 'T';$

- The supercompiler SCP4 is able to prove that the first two rules of the function B are unreachable from τ_2 .
- None of the Fibonacci words contains 'bb' as a subword.

Online Generated Program Output Formats

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Online Generated Program Output Formats

The Fibonacci Word Property: A More Natural Encoding

τ_3 is $B(\text{Fib}(e.n))$ and R_3 is:

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- The supercompiler SCP4 fails to prove that the first rule of the function B is unreachable from τ_3 .
- The output format of the corresponding residual program is $s.z$.

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The Finite Countermodel Method

The Fibonacci Word Property by Refutation

A first-order theory Fib_0

T_D

.....

$K('b', 'a')$.

$K(e.xs, e.ys) \rightarrow K(e.ys, e.xs : e.ys)$.

$B(e.ys : 'bb' : e.zs)$.

- $K(e.xs, e.ys)$ means $e.xs$ and $e.ys$ are two consecutive Fibonacci words.
- Stepwise computation of a given Fibonacci word $e.xs_0$ corresponds to stepwise derivability of $\exists e.ys(K(e.xs_0, e.ys))$.
- Mace4 is able to refute $\exists e.xs \exists e.ys(K(e.xs, e.ys) \wedge B(e.xs))$ by finding a countermodel M_1 of sizes 5.

Regular Invariants

by the Finite Countermodels Method (FCM)

The finite model M_1 produced by Mace4 can be seen as compact representations of the **regular** invariants (separators) sufficient to prove the safety, i.e. non-reachability properties (A. Lisitsa, 2012).

- FCM may be beneficial for producing non-trivial program transformations.
- The mechanisms for program analysis and transformation deployed within supercompilation do not cover all the regular power of FCM.

Non-Regular Properties

The mechanisms for program analysis and transformation deployed within supercompilation do not cover all the regular power of FCM **but may go beyond that.**

Non-Regular Properties

String Example

$H_f = f(K, 'h^n' : 'A', 'h^n' : 'A')$,
 where $K = ('b' \mid 'c')^m$ and $m \in \mathbb{N}$.

τ is $f(e.ps, 'A', 'A')$ and R is:

$f(\epsilon, 'h' : e.xs, 'A') = 'A';$

$f(\epsilon, 'A', 'h' : e.ys) = 'A';$

$f('b' : e.ps, e.xs, e.ys) = f(e.ps, 'h' : e.xs, 'h' : e.ys);$

$f('c' : e.ps, 'h' : e.xs, 'h' : e.ys) = f(e.ps, e.xs, e.ys);$

- The supercompiler SCP4 prunes away **the two first sentences**.

Non-Regular Properties

Binary Tree Example

$$H_g = g(K, \overbrace{('h' : 'A')^n}^n, \overbrace{('h' : 'A')^n}^n),$$

where $K = ('b' \mid 'c')^m$ and $m \in \mathbb{N}$.

τ is $g(e.ps, 'A', 'A')$ and R is:

$$g(\epsilon, ('h' : e.xs), 'A') = 'A';$$

$$g(\epsilon, 'A', ('h' : e.ys)) = 'A';$$

$$g('b' : e.ps, e.xs, e.ys)$$

$$= g(e.ps, ('h' : e.xs), ('h' : e.ys));$$

$$g('c' : e.ps, ('h' : e.xs), ('h' : e.ys))$$

$$= g(e.ps, e.xs, e.ys);$$

- The supercompiler SCP4 prunes away **the two first sentences**.

Final Remarks

The combination of supercompilation and the FCM is promising:

- The main idea is to explore the combination of FCM, theoretically complete for verification by regular invariants, with the mechanisms of supercompilation able to recognize and deal with non-regular properties.

Related Works

- P. Cousot, R. Cousot, *Abstract interpretations* (1977 ...)
- M. Leuschel et al., *Infinite state model checking by abstract interpretation* (1999 ...)
- M. Bruynooghe, H. Vandecasteele, A. de Waal, M. Denecker, *Detecting unsolvable queries for definite prolog programs* (2000)
- F. Fioravanti, A. Pettorossi, M. Proietti, *A non-regular predicate derived from a constrained regular language specification* (2004)
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Future Work

The examples given above motivate future development of a compiler from a functional language (in our case, Refal) to the first-order logic language.

- The compiler should protect as many syntax properties of the program being compiled as possible.
- The **overapproximated/non-deterministic reachability** of the program $\langle \tau, R \rangle$ states from τ should correspond to **derivability** in the corresponding compiled program.

Thank You